



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Claussen et al.**

Serial No.: **09/409,376**

Filing Date: **09/30/1999**

For: **Method for developing a custom tagbean**

§ Group Art Unit: **2176**

§

§ Examiner: **Bieneman, C.**

§

§ Attorney Docket No.: **AT9-99-480**

§

RECEIVED

JUL 11 2003

Technology Center 2100

Certificate of Mailing
Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450
on July 7, 2003.

By: _____

Joseph R. Burwell
Joseph R. Burwell, Reg. No 44,468

TRANSMITTAL DOCUMENT

Transmitted herewith are the following:

- ☒ Information Disclosure Statement (IDS);
- ☒ Form PTO-1449;
- ☒ Copy of references for accompanying IDS (30);
- ☒ A self-addressed, stamped postcard to be returned to sender.

DATE: July 7, 2003

Respectfully submitted,

Joseph R. Burwell
Joseph R. Burwell

Reg. No. 44,468

ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell

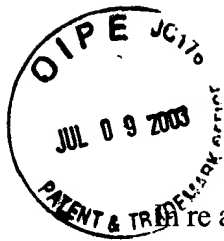
P.O. Box 28022

Austin, Texas 78755

Voice: 866-728-3688 (866-PATENT8)

Fax: 866-728-3680 (866-PATENT0)

Email: joe@burwell.biz



#12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUL 11 2003

Technology Center 2100

In re application of: **Claussen et al.**Serial No.: **09/409,376**Filing Date: **09/30/1999**For: **Method for developing a custom tagbean**§ Group Art Unit: **2176**

§

§ Examiner: **Bieneman, C.**

§

§ Attorney Docket No.: **AT9-99-480**

§

Certificate of Mailing
Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450
on July 7, 2003.

By: 

Joseph R. Burwell, Reg. No. 44,468

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.


This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c) after the mailing date of a first action on the merits but before the mailing of a final action or before the mailing of a notice of allowance. Please charge IBM Corporation Deposit Account No. 09-0447 in the amount \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p). Any deficiency or overpayment should be charged or credited to the above numbered deposit account.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

Date: July 7, 2003

Respectfully submitted,



Joseph R. Burwell

Reg. No. 44,468

ATTORNEY FOR APPLICANT

Law Office of Joseph R. Burwell

P.O. Box 28022

Austin, Texas 78755

Voice: 866-728-3688 (866-PATENT8)

Fax: 866-728-3680 (866-PATENT0)

Email: joe@burwell.biz



U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

Form PTO 449

**LIST OF PRIOR ART CITED
BY APPLICANT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

AT9-99-480

SERIAL NO.

09/409,376

APPLICANT

Claussen et al.

FILING DATE

09/30/1999

GROUP ART UNIT

2176**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	US 6,347,307 B1	02/12/2002	Sandhu et al.		
	US 6,381,743 B1	04/30/2002	Mutschler, III		
	6,014,680	01/11/2000	Sato et al.		
	US 6,418,446 B1	07/09/2002	Lecton et al.		
	US 6,480,865 B1	11/12/2002	Lee et al.		
	6,226,675	05/01/2001	Meltzer et al.		

RECEIVED**JUL 11 2003****Technology Center 2100****FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

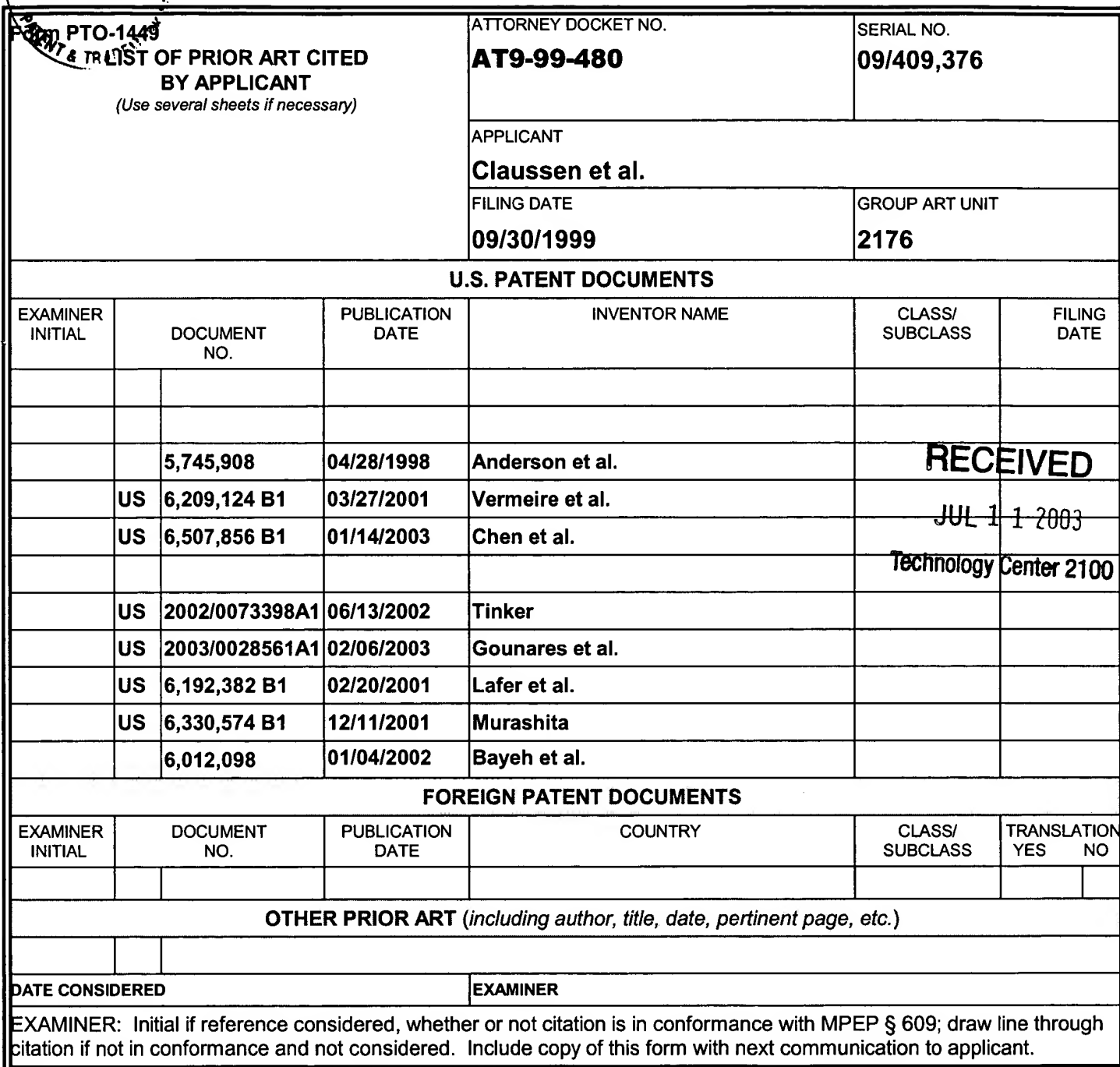
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

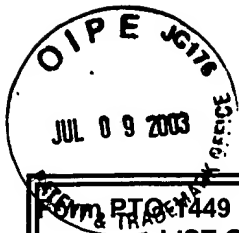
--	--	--

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)			ATTORNEY DOCKET NO. AT9-99-480		SERIAL NO. 09/409,376	
			APPLICANT Claussen et al.			
			FILING DATE 09/30/1999		GROUP ART UNIT 2176	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME		CLASS/ SUBCLASS	FILING DATE
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY		CLASS/ SUBCLASS	TRANSLATION YES NO
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
		World Wide Web Consortium (W3C), "Extensible StyleSheet Language (XSL) Specification", W3C Working Draft 21 April 1999, www.w3.org, pp. 1-18.				
		Wood et al., "XMLC Tutorial", Version 1.02, 01 July 1999, http://staff.pisoftware.com/dwood/xmlc-tutorial/ .				
		World Wide Web Consortium (W3C), "Namespaces in XML", W3C Recommendation 14 January 1999, www.w3.org.				
		"XML-Based Templates for Generating Artifacts from Java-Based Models", RD 416103, <i>Research Disclosure</i> , p. 1678, December 1998.				
		"Parameterized XSL Style Sheets", RD 423110, <i>Research Disclosure</i> , p. 1009, July 1999.				
		"Converting HTML to Well Formed XML With Preference Based Tag Expansion", RD 423111, <i>Research Disclosure</i> , p. 1011, July 1999.				
		World Wide Web Consortium (W3C), "HTML 4.0 Specification", W3C Recommendation 24 April 1998, www.w3.org, pp. 238-240.				
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

RECEIVED

JUL 11 2003

Technology Center 2100



Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)			ATTORNEY DOCKET NO. AT9-99-480		SERIAL NO. 09/409,376	
			APPLICANT Claussen et al.			
			FILING DATE 09/30/1999		GROUP ART UNIT 2176	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME		CLASS/ SUBCLASS	FILING DATE
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY		CLASS/ SUBCLASS	TRANSLATION YES NO
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
		Kung, "The Next Generation Web Servers", MU-SPIN Ninth Annual Users' Conference, 09/27/1999				
		Sundsted, "Java Makes the most of XML", <i>JavaWorld Magazine</i> , July 1999.				
		Gonsalves, "Lutris' server divides duties", <i>eWeek</i> , www.zdnet.com/zdnn , 07/11/1999.				
		Hildyard, "An XML Document to JavaScript Object Converter", <i>Web Techniques</i> , v. 4, n. 1, pp. 63-69, 1999.				
		"Lutris Delivers XML Compiler to Leading Open Source Application Server", http://www.enhydra.org , 07/06/1999.				
		Gardner, "Open-source application server enters the fray", <i>InfoWorld</i> , 04/14/1999.				
		Wood, "The Web Document API", SoftQuad, Inc., 1999.				
		Fields, "Java Servlets for JavaScripters", http://web.archive.org , 01/1999.				
		Lie et al., "Multipurpose Web Publishing Using HTML, XML, and CSS", <i>Comm. of the ACM</i> , v. 42, n. 10, October 1999.				
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

RECEIVED

JUL 11 2003

Technology Center 2100